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TRANSMITTAL LETTER  
(General - Patent Pending)

Docket No.  
112857-308

In Re Application Of: Hata, et al.

MAR 28 2002

Serial No.  
10/037,160

Filing Date  
December 20, 2001

Examiner  
Unknown

Group Art Unit  
2151

Title: INFORMATION PROCESSING DEVICE AND METHOD, AND RECORDING MEDIUM

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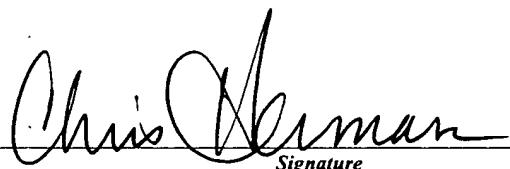
TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is:

Transmittal Letter in duplicate, Response To Notice To File Missing Parts (3 pages) in duplicate, Copy of Notice to File Missing Parts of Nonprovisional Application; Original Signed Declaration and Power of Attorney (3 pages), Check in the amount of \$130.00 and Postcard

in the above identified application.

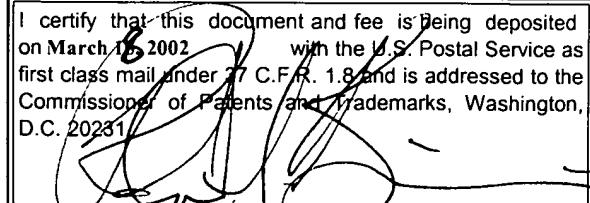
No additional fee is required.  
 A check in the amount of \$130.00 is attached.  
 The Commissioner is hereby authorized to charge and credit Deposit Account No. 02-1818 as described below. A duplicate copy of this sheet is enclosed.  
 Charge the amount of  
 Credit any overpayment.  
 Charge any additional fee required.

  
Signature

Dated: March 18, 2002

Christopher S. Hermanson (Reg. No. 48,244)  
Bell, Boyd & Lloyd LLC  
P.O. Box 1135  
Chicago, IL 60690  
Tel: (312) 372-4225

I certify that this document and fee is being deposited on March 18, 2002 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231.

  
Signature of Person Mailing Correspondence

R. Bert Buccieri  
Typed or Printed Name of Person Mailing Correspondence

CC:

H3

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**112857-308**

In Re Application Of: **Hata, et al.**

**MAR 28 2002**

Serial No.  
**10/037,160**

Filing Date  
**December 20, 2001**

Examiner  
**Unknown**

Group Art Unit  
**2151**

Invention: **INFORMATION PROCESSING DEVICE AND METHOD, AND RECORDING MEDIUM**

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**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on

**February 6, 2002**

*Date*

Enclosed herewith for filing are the following:

- A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- Other (list):

**Check in the amount of \$130.00 and Postcard.**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533)(Large Entity)**

Docket No.  
**112857-308**

In Re Application Of **Hata, et al.**

**MAR 28 2002**

Serial No.  
**10/037,160**

Filing Date  
**December 20, 2001**

Examiner  
**Unknown**

Group Art Unit  
**2151**

Invention: **INFORMATION PROCESSING DEVICE AND METHOD, AND RECORDING MEDIUM**

**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

**Box Missing Parts**

Completion of application fees as calculated below:

Utility application filing fee \_\_\_\_\_

Design application filing fee \_\_\_\_\_

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Total number of independent claims = \_\_\_\_\_

Total number of claims = \_\_\_\_\_

Multiple dependent claims \_\_\_\_\_

Surcharge for late payment of filing fee and/or late filing of original declaration or oath **\$130.00**

Petition and fee for filing by other than all the inventors or a person not the inventor \_\_\_\_\_

Fee for processing an application filed with a non-English language specification \_\_\_\_\_

Fee for processing and retention of application \_\_\_\_\_

Total completion of application fees **\$130.00**

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

One month       Two months       Three months       Four months       Five months

from: \_\_\_\_\_

*Date*

until: \_\_\_\_\_

*Date*

Total time extension fees \_\_\_\_\_

Total fees due

**\$130.00**

**Response To Notice To File Missing Parts Of Application  
Filing Date Granted (PTO-1533) (Large Entity)**

Docket No.  
**112857-308**

In Re Application Of: Hata, et al.

**MAR 28 2002**

Serial No.  
**10/037,160**

Filing Date  
**December 20, 2001**

Examiner  
**Unknown**

Group Art Unit  
**2151**

Invention: **INFORMATION PROCESSING DEVICE AND METHOD, AND RECORDING MEDIUM**

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

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The fee of **\$130.00** is to be paid as follows:

- A check in the amount of the fee is enclosed.
- The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No. **02-1818**  
A duplicate copy of this sheet is enclosed.
- If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No.  
A duplicate copy of this sheet is enclosed.



*Christopher S. Hermanson*  
Signature

Dated: **March 18, 2002**

Christopher S. Hermanson (Reg. No. 48,244)  
Bell, Boyd & Lloyd LLC  
P.O. B x 1135  
Chicago, IL 60690  
Tel: (312) 807-4225

I certify that this document and fee is being deposited on **March 18, 2002** with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

*Signature of Person Mailing Correspondence*

**Robert Buccieri**

**Typed or Printed Name of Person Mailing Correspondence**

CC:

H3



**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
[www.uspto.gov](http://www.uspto.gov)

APPLICATION NUMBER 10/037,160	FILING/RECEIPT DATE 12/28/2001	FIRST NAMED APPLICANT Katsushige Hata	ATTORNEY DOCKET NUMBER 01128757-308
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OCT 16 E JC  
MAR 28 2002  
PATENT & TRADEMARK OFFICE

BELL, BOYD & LLOYD LLC  
P.O. Box 1135  
Chicago, IL 60690

**CONFIRMATION NO. 5620**

**FORMALITIES LETTER**



"OC000000007429532"

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**DUE: 4 - 6 - 02**

Date Mailed: 02/06/2002

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*J. Robertson*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

03/29/2002 HMARZI1 00000033 10037160

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